

## RADIATION-ASSOCIATED LIVER INJURY

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**The liver is a critically important organ that has numerous functions including the production of bile, metabolism of ingested nutrients, elimination of many waste products, glycogen storage, and plasma protein synthesis. The liver is often incidentally irradiated during radiation therapy (RT) for tumors in the upper- abdomen, right lower lung, distal esophagus, or during whole abdomen or whole body RT. This article describes the endpoints, time-course, and dose-volume effect of radiation on the liver. © 2010 Elsevier Inc.**

**Liver, Normal tissue toxicity, Radiation-induced liver disease.**

### 1. CLINICAL SIGNIFICANCE

The liver is an important organ with numerous functions including the production of bile, metabolism of ingested nutrients, elimination of waste products, glycogen storage, and protein synthesis. The liver is often incidentally irradiated during radiation therapy (RT) for tumors in the upper abdomen, right lower lung, distal esophagus, or whole abdomen or whole-body RT.

### 2. ENDPOINTS

The Cancer Therapy Evaluation Program, Common Terminology Criteria for Adverse Events (CTCAE), version 3.0, defines Grades 2, 3, 4, and 5 liver dysfunction as jaundice, asterixis, encephalopathy or coma, and death, respectively. These serious adverse events are rare after radiation therapy (RT). Acute post-RT changes in liver function tests are far more common and occur during and after RT, presumably related to self-limited liver inflammation. Such liver enzyme abnormalities are classified under the CTCAE metabolic/laboratory category. Grades 2, 3, and 4 elevations of alanine aminotransferase and aspartate aminotransferase include levels that are >2.5–5.0, >5.0–20, and >20 times the upper limit of normal, respectively. Radiographically, clinically insignificant transient declines in computed tomography (CT)-defined tissue density can be seen 2–3 months after fractionated RT. This observation by itself should not

be confused with tumor progression or irreversible liver injury (1).

The Child-Pugh scoring system assesses liver dysfunction based on clinical and laboratory parameters (Table 1). It can be used to characterize baseline liver function and posttreatment changes in liver function.

RT-induced liver disease (RILD) is separated into “classic” and “nonclassic” RILD. Classic RILD involves anicteric hepatomegaly and ascites, typically occurring between 2 weeks to 3 months after therapy (2). Classic RILD also involves elevated alkaline phosphatase (more than twice the upper limit of normal or baseline value). This endpoint can occur in patients who have otherwise fairly well-functioning pretreatment livers. Pathologically, there is occlusion and obliteration of the central veins of the hepatic lobules, retrograde congestion, and secondary hepatocyte necrosis. Treatment options for RILD are limited, and liver failure and death can result.

Nonclassic RILD, typically occurring between 1 week and 3 months after therapy, involves elevated liver transaminases more than five times the upper limit of normal or CTCAE Grade 4 levels in patients with baseline values more than five times the upper limit of normal within 3 months after completion of RT, or a decline in liver function (measured by a worsening of Child-Pugh score by 2 or more), in the absence of classic RILD. This endpoint has been described in hepatocellular carcinoma (HCC) patients who have poor

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Table 1. Child-Pugh scoring system to assess severity of liver disease

Criterion	1 point	2 points	3 points
Bilirubin (total)	<2 mg/dL	2–3 mg/dL	>3 mg/dL
Serum albumin	>3.5 g/dL	2.8–3.5 g/dL	<2.8 g/dL
INR	<1.7	1.71–2.20	>2.20
Ascites	None	Controlled with medication	Refractory
Hepatic encephalopathy	None	CTCAE Grade I-II (or controlled with medication)	CTCAE Grade III-IV (or refractory)

*Abbreviations:* INR = international normalized ratio; CTCAE = Common Terminology Criteria for Adverse Events.

Patients are grouped into Child-Pugh Class A if the total score is 5–6, Class B if the score is 7–9, and Class C if the score is 10 or higher.

liver function (hepatitis B infection, Child-Pugh Classes B and C) (3–5). CTCAE, although not as useful for classic RILD, is most useful for scoring nonclassic RILD. The underlying pathology of nonclassic RILD is unclear.

A confounder of RILD, especially in populations with pre-existing liver dysfunction, is the baseline rate of morbidity within this population due to their preexisting liver disease. In a recent randomized trial in unresectable HCC, there was a 52% rate of serious adverse events among the placebo group because of progression of cirrhosis or HCC (6). Another described endpoint is hepatitis B reactivation (7), which can contribute to liver function abnormalities. Patients at risk for hepatitis B virus (HBV) should have appropriate serum testing, and prophylactic antiretroviral therapy has been associated with a lower rate of post-RT reactivation of HBV or exacerbation.

No established therapies for classic RILD exist, though the use of anticoagulants and steroids have been suggested. Treatment of RILD is primarily supportive, and diuretics are often used for the ascites. Although a few patients may recover, a substantial fraction will die of liver failure.

### 3. CHALLENGES DEFINING VOLUMES

The liver is relatively easy to identify on CT images. If intravenous and oral contrast are not used, the left border can be indistinct against the heart or stomach. Ideally, the liver parenchyma (minus the biliary duct system and vasculature) should be distinguished as the “functional” component. Literature concerned with modeling liver tolerance to RT typically defines normal liver volume as the total liver minus gross tumor volume (5, 8), presuming that minimal function remains in liver tumors themselves.

Extensive work involving fluoroscopy, four-dimensional CT, and cine-magnetic resonance imaging has described liver motion due to breathing and the effect of this motion on delivered RT dose. Regular breathing can result in liver tumor displacement  $\geq 2$  cm. Strategies to manage this motion include abdominal compression, shallow breathing, breath holding, deformation modeling, gated treatments, and real-time tumor tracking (9–13). Attempts to assess/compensate for liver motion are essential for stereotactic RT and are advisable in other circumstances, especially when the normal liver volume irradiated poses a substantial risk of RILD.

### 4. REVIEW OF DOSE–VOLUME DATA

The liver parenchyma is composed of numerous functional subunits. This parallel architecture allows the liver to tolerate substantial focal injury prior to any clinical sequelae. In non-cirrhotic patients, surgical resection that leaves only a 20–25% liver remnant has been shown to be well tolerated (14). Because of this redundant capacity, partial liver irradiation to high doses is possible if adequate normal liver parenchyma can be spared. Preexisting liver dysfunction secondary to comorbid conditions such as hepatitis B/C infection and cirrhosis may render patients more susceptible to RT-induced liver injury.

#### *Whole liver RT*

The classic paper by Ingold (1965) is the first report of a dose–complication relationship for whole-liver RT (15). RILD occurred in 1/8 patients who received 30–35 Gy over 3–4 weeks and 12/27 (44%) patients who received >35 Gy. In the 1991 report by Emami, the total dose 5/5 for whole-liver radiation was estimated to be 30 Gy in 2-Gy fractions (16). More recent experiences include the Radiation Therapy Oncology Group 84-05 dose escalation study of accelerated hyperfractionation in which it was observed that 0/122 patients who received 27–30 Gy in twice daily 1.5 Gy fractions of whole-liver radiation therapy experienced RILD, whereas 5/51 (9.8%) who received 33 Gy in 1.5-Gy fractions developed RILD (17).

#### *Partial liver RT*

Table 2 summarizes the toxicity reported after partial liver RT for primary liver cancer and small volume metastatic disease. In most, the key factor predicting RILD was baseline liver condition. Two studies noted a dosimetric parameter associated with increased toxicity risk: mean dose and V30 (volume receiving  $\geq 30$  Gy). In each series where mean normal liver dose was reported, patients with RILD had a higher mean dose than those without RILD.

The University of Michigan (UM) has extensively investigated RT dose escalation of primary and metastatic liver cancers since 1987. Using CT-based RT planning, the parameter effective volume ( $V_{\text{eff}}$ ) of normal liver irradiated was defined as the normal liver volume, which, if irradiated to the prescribed dose, would be associated with the same normal tissue complication probability (NTCP) as the non-uniform dose delivered. An analysis of 203 patients treated with

Table 2. Series of fractionated partial liver irradiation and rates of RILD

Study group	n	Diagnosis	Baseline Child-Pugh score	Prescription dose fractionation	Crude percent RILD	Mean normal liver dose in patients with vs. without RILD	Factors associated with RILD
Michigan (8, 23)	203*	PLC + LMC	203 A	1.5 Gy twice daily	9.4% (19/203)	37 Gy vs. 31.3 Gy	PLC vs. LMC mean liver dose
Taipei (20)	89 <sup>†</sup>	HCC	68 A 21 B	1.8–3.0 Gy	19% (17/89)	23 Gy vs. 19 Gy	HBV, liver cirrhosis
Shanghai (3, 18)	109 <sup>†</sup>	PLC	93 A 16 B	4–6 Gy	15.6% (17/109)	24.9 Gy vs. 19.9 Gy	Liver cirrhosis
Guangdong (20)	94**	HCC	43 A 51 B	4–8 Gy	17% (16/94) Note: 4 fatal	Not stated	Liver cirrhosis
S. Korea (Seong, Park) (21)	158 <sup>†</sup>	HCC	117 A 41 B	1.8 Gy	7% (11/158)	Not stated	Dose
S. Korea (Kim) (4)	105 <sup>†</sup>	HCC	85 A 20 B	2.0 Gy	12.3% (13/105)	25.4 Gy vs. 19.1 Gy	Total liver volume receiving 30 Gy or more above 60%

*Abbreviations:* HBV = hepatitis B viral infection; HCC = hepatocellular carcinoma; PLC = primary liver cancer; LMC = liver metastatic disease; RILD = radiation-induced liver damage.

\* Patients also received FUdR or BUdR; in this series the mean normal liver dose was calculated as corrected for 1.5 Gy twice-daily equivalent dose, and the comparison of patients with vs. without RILD refers to the median value of mean normal liver dose, whereas for other series the comparison is between the average (mean) of mean normal liver dose in each group.

<sup>†</sup> At least 77% of patients in these series also received transarterial chemoembolization (TACE).

three-dimensional conformal RT, and concurrent hepatic arterial chemotherapy, demonstrated that small portions of the liver can be irradiated to a very high dose (up to 90 Gy) if the  $V_{\text{eff}}$  was low (8). Mean liver dose was also a strong predictor of RILD in the UM series (see “Mathematical/Biological Models”).

Dose–volume limit recommendations are discussed in the Recommended Dose–volume Limits section. Regarding risk, in general, the risks reported in the studies cited within this review are realistic estimates, as the follow-up durations in the studies are greater than the 3–4 months within which RILD typically occurs.

## 5. FACTORS AFFECTING RISK

Preexisting liver dysfunction may render patients more susceptible to RT-induced liver injury (Table 2). Patients with Child-Pugh B or C scores have a higher risk of RT-related problems than those with Child-Pugh A scores (3, 18–20). Additional factors reportedly associated with a higher risk of RILD include hepatitis B carrier status (21), prior transcatheter arterial chemoembolization (18), concurrent chemotherapy (8), portal vein tumor thrombosis (4, 18, 22), tumor stage (18), male sex (8), and Cancer of the Liver Italian Program staging system (18, 22).

Although it is likely that the risk of liver injury relates to the dose per fraction received by portions of the liver, it is difficult to characterize the magnitude of any effect because most series include patients treated within a narrow range of dose per fraction. Furthermore, with any size fraction given to the tumor, the adjacent normal liver receives a broad range of doses because of beam entrance/exit zones and penumbra, further complicating the analysis. The topic of dose

modeling is discussed further in Mathematical/Biological Models, and the topic of hypofractionation is discussed further in the Special Situations section.

## 6. MATHEMATICAL/BIOLOGICAL MODELS

The Lyman NTCP model has been applied by numerous groups. From the series referenced in Table 2, the range of estimates of the parameters generated among patients with Child-Pugh A or better liver function and no HBV infection are as follows: n, 0.86–1.1; m, 0.12–0.31; and TD50, 39.8–46.1 Gy (8, 21). For patients with HBV or Child-Pugh B dysfunction, the ranges are: n = 0.26–0.7, m = 0.4–0.43, TD50 = 23–50 Gy (3, 21). These patients with worse liver dysfunction likely have lower TD50 values within the previous range, though this needs to be clarified in future studies.

Analysis of UM patients treated for primary hepatobiliary cancer or 98 metastases with concurrent continuous hepatic arterial floxuridine (FUdR) or bromodeoxyuridine (BUdR) and RT in twice daily 1.5 Gy fractions revealed a strong correlation of RILD with the mean liver dose. No classic RILD was observed when the mean liver dose was <31 Gy, with or without chemotherapy. For patients treated with FUdR, the mean liver doses associated with 5% risk of classic RILD was 28 Gy for primary and 32 Gy for metastatic liver cancer (corrected to 2 Gy fraction equivalent doses using the linear-quadratic [LQ] model, assuming an  $\alpha/\beta = 2$  Gy). Based on these observations and derived Lyman model parameter estimates, the partial volume tolerance of the liver for a defined allowable risk of RILD can be graphed (Figure 1) for a 5% risk of RILD. With “n” of approximately 1 in the Lyman NTCP model, a large volume effect is seen and a strong correlation of NTCP with mean liver dose is revealed. Again

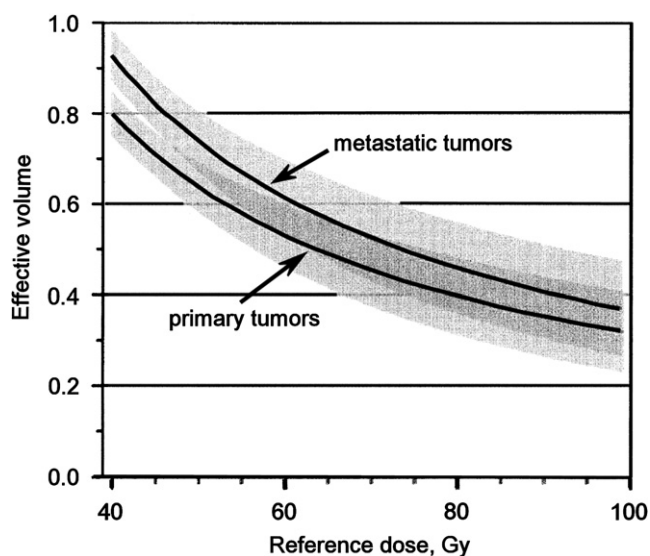


Fig. 1. Reference dose vs effective volume for 5% isototoxicity curve for classic radiation-induced liver disease after conformal radiation therapy, delivered in 1.5 Gy twice-daily fractionation, for primary or metastatic tumors. Redrawn from (8). The shaded areas around each curve represent the 80% confidence limits, which overlap above a reference dose of approximately 45 Gy.

based on the UM data, Figure 2 demonstrates the relationship between mean liver dose and NTCP for RILD for patients with primary or metastatic liver tumors.

When a similar analysis was conducted on different populations from Taiwan (21) and China (18), with a majority of patients having HBV infections, the tolerance of the liver to radiation was less predictable, and the most common effect consisted of elevation of transaminases rather than RILD. HCC patients who were HBV carriers or had Child-Pugh B cirrhosis had a greater susceptibility to RILD and had a smaller volume effect on normal liver response according to Lyman modeling. For patients with HBV treated in Taiwan, Lyman NTCP parameters for classic and nonclassic RILD are:  $n$  0.26,  $m$  0.4, and  $TD_{50}$  50 Gy (21).

The Shanghai group likewise noted differences in Lyman model parameter estimates based on baseline liver dysfunction, with less volume effect for Child-Pugh B relative to Child-Pugh A (3, 18); in this series, fraction sizes were 4–6 Gy (see Table 2). For the patients with Child Pugh B liver dysfunction treated with 4–6 Gy per fraction, Lyman parameters for classic or nonclassic RILD are  $n$  0.7,  $m$  0.43, and  $TD_{50}$  23 Gy (3). From these patients treated with 4–6 Gy per fraction, the mean liver doses associated with a 5% risk of liver toxicity were estimated to be 23 Gy and 6 Gy for Child-Pugh A and B patients, respectively.

One report of damage injury model parameterization of the early UM data led to local damage parameters of  $D_{50} = 42$  Gy,  $k = 2$ ; and fraction of liver injury required for RILD parameters of  $F_{50} = 0.5$ ,  $\sigma = 0.05$  (23). A subsequent analysis of 203 UM patients led to a lower threshold and a shallower slope for the population cumulative functional reserve:  $F_{50} = 0.4$ ,  $\sigma = 0.08$ ; however, the confidence limits on these parameters were very large (24). In another analysis from the

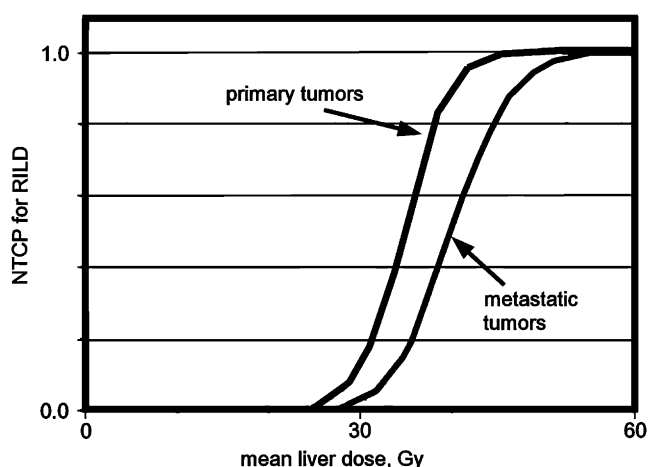


Fig. 2. Mean liver dose, corrected with LQ modeling for 2.0 Gy fractions vs. Lyman normal tissue complication probability (NTCP) of classic radiation-induced liver disease (RILD) for primary and metastatic liver cancer, redrawn from (24).

National Taiwan University group, including patients with HCC and gastric cancer patients, valid fits were only obtained for the non-HBV carriers with local damage parameters of  $D_{50} = 25$  Gy,  $k = 60$ ; and fraction of liver injury required for RILD parameters of  $F_{50} = 0.59$ ,  $\sigma = 0.12$  (25), but these parameters have high uncertainty.

Limited data about the utility of V30 exist from studies of mostly HCC patients, with both classic and nonclassic RILD combined together. V30 was found to be useful in segregating higher risk patients from lower risk patients in some studies at cutoff levels of 28–60% (4, 18, 26); however, the effect of V30 is not uniformly observed (5). Other studies suggest the importance of V20–V40 (4) and V5–V40 (18), but only for Child-Pugh Grade A patients in the latter study. The critical volume model is discussed in the Special Situations section.

## 7. SPECIAL SITUATIONS

Most clinical data published involves analyses of conventionally fractionated or hyperfractionated treatment involving daily prescription doses to the tumor in the range of 2 Gy or less. Consequently, the daily doses received by surrounding normal liver parenchyma are even lower. Current interest in the use of stereotactic body radiation therapy (SBRT) raises questions about the extent to which observations made using low dose per fraction are applicable to the setting of SBRT, where the daily prescription dose to the tumor is on the order of 10 Gy or higher, and portions of the normal liver will receive doses in that range. Use of the models discussed in Mathematical/Biological Models should be done with caution, as the LQ conversion for larger fraction sizes will likely be inadequate.

SBRT produces transient hypodensity on CT scan that appears within months after treatment and then resolves (27). RILD after SBRT occurs in fewer than 5% of cases with careful patient selection and technique. Mendez-Romero (median follow-up, 12.9 months) observed 1 classic and 1 nonclassic

case of RILD among 8 patients with HCC treated with SBRT for liver tumors; another patient with baseline Child-Pugh B liver dysfunction and HCC experienced portal hypertension and concomitant nonhepatic infection and died 2 weeks after treatment. No Grade 4 or 5 toxicity occurred among the 17 patients with liver metastases, suggesting that patients with HCC are more susceptible to SBRT-related toxicity, especially if there is underlying liver dysfunction (28). In a Phase II study of 61 patients treated with SBRT for colorectal metastases treated with 15 Gy  $\times$  3 within 5–8 days, Hoyer (median follow-up 4.3 years) observed severe toxicity in 1 patient that was possibly related to SBRT (60% of liver received  $\geq$ 10 Gy, median dose 14.4 Gy in three fractions). This patient died of hepatic failure 7 weeks post-RT, but the exact cause was unclear (29). In a Princess Margaret Hospital study of 41 patients treated with SBRT, using an NTCP estimate for dose in six fractions (median, 36.0 Gy; range, 24.0–54.0 Gy) and with a median follow-up 17.6 months, for HCC or intrahepatic cholangiocarcinoma, 17% experienced progression from Child-Pugh A to B within 3 months after RT (median mean liver dose, 17.5 Gy; range, 5.2–25.2 Gy, in six fractions) (30). In contrast, in 68 patients with liver metastases treated with SBRT (28–60 Gy, in six fractions), the risk of any serious liver toxicity within 3 months was very low (95% confidence interval 0–5.3%), despite similar doses delivered to the liver (median mean liver dose, 16.9 Gy; range, 3–22 Gy, in six fractions) (31).

In the University of Colorado (UC) trial of SBRT for liver metastases (median follow-up, 12.9 months), a modification of the critical volume model (32) was applied. For liver SBRT, the fundamental premise is that to preserve adequate liver function, a minimum volume of normal liver must be spared from receiving a dose that might render it nonfunctional. This minimum “critical volume” was estimated from partial hepatectomy series to be 700 mL; the maximum dose allowed to this critical volume was estimated to be 15 Gy in three fractions (based on LQ conversion,  $\alpha/\beta = 3$  Gy) (33). No RILD or other severe toxicity has been observed to date after SBRT given according to these constraints (34).

Comparisons between SBRT and conventional fractionation or hyperfractionation must be approached cautiously, given uncertainties in the models used to calculate biological equivalence. Recently, Tai combined LQ and Lyman modeling to generate parameters based on clinical data that may be used to estimate equivalent doses based on differing fraction size (35), but Park has noted problems in the application of LQ modeling for SBRT and offered an alternative survival curve formulation (36). For the purpose of offering a visual example of the typical dose–volume histograms (DVHs) used in those settings, Figure 3 includes mean DVHs from the UM hyperfractionated experience and the UC SBRT experience, but these should not be interpreted as an ideal DVH for these situations. Regarding the UM data, the doses shown in Figure 3A have been corrected to 1.5 Gy per fraction (using LQ model,  $\alpha/\beta = 2.5$  Gy) and radiation was given with hepatic arterial FUdR (37). The UC data represent DVHs from the first 18 patients treated (33).

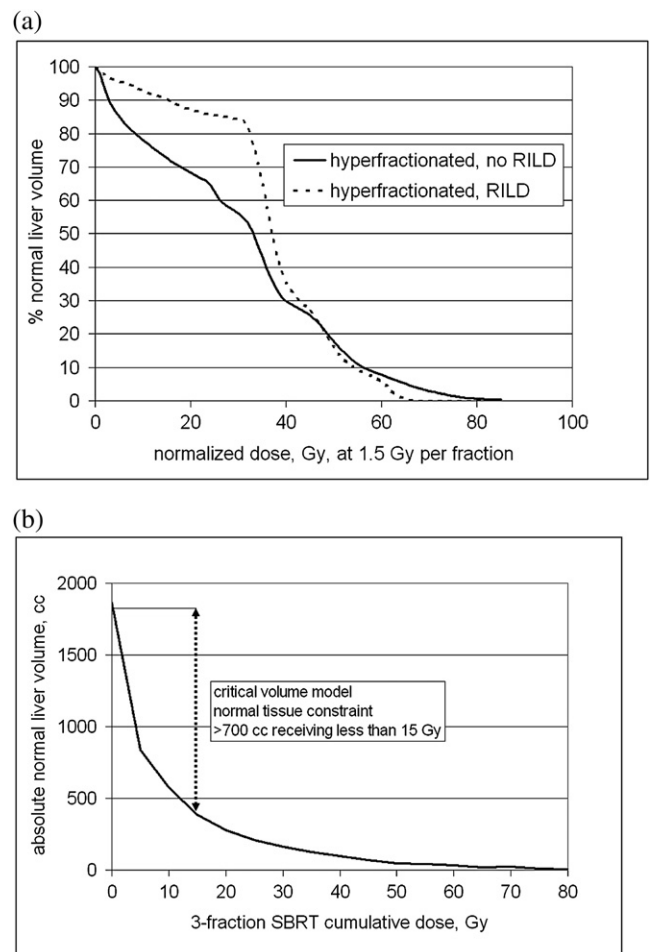


Fig. 3. Characteristic normal liver (minus gross tumor volume) DVHs for low (a) or high (b) dose per fraction. (a) Mean normal liver DVHs from the University of Michigan for 204 patients who did or did not experience radiation-induced liver disease (RILD). (b) Mean normal liver dose–volume histogram from the University of Colorado SBRT Phase I trial with no RILD observed. See text for additional details.

One additional potential concern related to the use of high dose per fraction treatment is the observation of extrahepatic portal vein occlusion after high-dose intraoperative radiation therapy. Mitsunaga *et al.* observed 12 cases of extrahepatic portal vein occlusion among 53 patients who underwent pancreaticoduodenectomy for periampullary disease followed by 20 Gy intraoperative radiation therapy to the resection bed (38).

## 8. RECOMMENDED DOSE–VOLUME LIMITS

All dose–volume recommendations are associated with some uncertainty. Nevertheless, the data for RILD estimates have been reasonably well studied and analyzed. Long-term liver injury or biliary duct system damage is less well understood, because few patients have been followed for 5 or more years. Broad guidelines for normal liver dose constraints, for 5% or less risk of RILD, are offered as follows.

*Palliative whole-liver doses*

- Liver metastases  
 ≤ 30 Gy, in 2 Gy per fraction  
 21 Gy in seven fractions (39)
- Primary liver cancers  
 ≤ 28 Gy, in 2 Gy per fraction  
 21 Gy in seven fractions (40)

*Therapeutic partial liver RT (standard fractionation)*

- Mean normal liver dose (liver minus gross tumor volume)  
 < 28 Gy in 2-Gy fractions for primary liver cancer  
 < 32 Gy in 2-Gy fractions for liver metastases

*Nonuniform liver recommendations (SBRT, three to six fractions)*

- Mean normal liver dose (liver minus gross tumor volume)  
 < 13 Gy for primary liver cancer, in three fractions  
 < 18 Gy for primary liver cancer, in six fractions  
 < 15 Gy for liver metastases, in three fractions  
 < 20 Gy for liver metastases, in six fractions  
 < 6 Gy for primary liver cancer, Child-Pugh B, in 4–6 Gy per fraction (for classic or nonclassic RILD)  
 Critical volume model-based  
 ≥ 700 mL of normal liver receives ≤ 15 Gy in three to five fractions

**9. FUTURE TOXICITY STUDIES**

- A. Prospective studies with dose-volume data and serial long-term clinical/objective outcomes are needed.

Differences in dose per fraction should also be considered.

- B. The impact of clinical variables (*e.g.*, pre-RT liver function) and other therapies (*e.g.*, chemotherapy) that may impact the liver's functional reserve need to be assessed.
- C. The timeframe for post-RT liver regeneration has not been well characterized.
- D. An improved understanding of the biological pathophysiology of RT-induced liver injury, especially for nonclassic RILD, is needed, with an emphasis on identifying opportunities for injury mitigation by modulation of key signaling pathways (*e.g.*, transforming growth factor- $\beta$ ) (41). In this setting, pretreatment pathologic evaluation of the nonmalignant liver could potentially be useful for predicting the NTCP and may be a subject for future research.
- E. RT effects on nonparenchymal structures within the liver (*e.g.*, biliary duct tolerance).
- F. Spatial variation in radiation sensitivity.

**10. TOXICITY SCORING**

Studies of RT-induced liver injury should separately record the incidence of classic and non-classic RILD. Preexisting liver dysfunction, as measured by the Child-Pugh score, should be recorded, as well as any change in status of Child-Pugh score after treatment. The use of CTCAE criteria for elevations of aspartate aminotransferase, alanine aminotransferase, alkaline phosphatase, platelet count, bilirubin, albumin, and prothrombin time is advisable to promote consistency of reporting. Screening for and treatment of hepatitis B and/or C before RT is recommended.

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